

PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

1742
#6
Blt
2/28/02**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/849,717	
	Filing Date	May 4, 2001	
	First Named Inventor	Leonid N. Shekhter, et al.	
	Group Art Unit	1742	
	Examiner Name	N/A	
Total Number of Pages in This Submission	21	Attorney Docket Number	6160 P57

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO-1449, copies of cited references
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

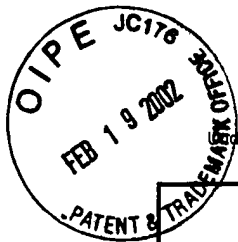
Firm or Individual name	Jerry Cohen
Signature	
Date	January 23, 2002

RECEIVED
FEB 21 2002
TC 1700**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: January 23, 2002

Typed or printed name	Jerry Cohen		
Signature		Date	January 23, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Assistant Commissioner for Patents
Washington, D.C. 20231

on January 23, 2002.
Date

Signature

Jerry Cohen, Reg. No. 20,522

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

PTO-1449
Information Disclosure Statement
copies of cited references
PTO/SB/21

RECEIVED
FEB 27 2002
TC 1700



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Leonid N. Shekhter, et al. Examiner: N/A
Serial Number: 09/849,717 Group Art Unit: 1742
Filed: May 4, 2001 Confirmation Number: 9644
For: METALOTHERMIC REDUCTION OF REFRACTORY METAL OXIDES

PERKINS, SMITH & COHEN, LLP
One Beacon Street
Boston, MA 02108
(617) 854-4000

TO: Honorable Commissioner of Patents & Trademarks
Washington, DC 20231

RECEIVED
FEB 27 2002
TC 1700

INFORMATION DISCLOSURE STATEMENT

Applicant hereby brings the following further item(s) of information to the attention of the Patent and Trademark Office pursuant to their duty under 37 C.F.R. 1.56 and 1.98:

- (1) U.S. Pat. No. 4,569,693 entitled "PROCESS FOR IMPROVING THE FLOWABILITY AND INCREASING THE BULK DENSITY OF HIGH-SURFACE AREA VALVE METAL POWDERS" issued February 11, 1986 to Albrecht et al;
- (2) U.S. Pat. No. 4,954,169 entitled "FINE-GRAINED, HIGH-PURITY EARTH ACID METAL POWDERS, A PROCESS FOR THEIR PRODUCTION AND THEIR USE" issued September 4, 1990 to Behrens;
- (3) U.S. Pat. No. 5,082,491 entitled "TANTALUM POWDER WITH IMPROVED CAPACITOR ANODE PROCESSING CHARACTERISTICS" issued January 21, 1992 to Rerat; and
- (4) U.S. Pat. No. 5,707,599 entitled "PROCESS FOR PURIFYING TANTALUM OXIDE AND OTHER METAL OXIDES" issued January 13, 1998 to Northway.

Enclosed please find form PTO 1449 and copies of the above cited references. This Supplemental IDS is filed to augment the IDS filed with the original application papers for this case.

Applicant does not believe that a fee is required for this supplemental submission, as it is being filed within three months of receiving the International Search Report dated January 3,

2002 in Patent Cooperation Treaty Application PCT/US01/31726 which application has the identical parent antecedent as the above application. If the Assistant Commissioner determines that a fee is required, please charge the cost thereof to Deposit Account No. 03-2410, Order No. NRC P57 (6160).

LEONID N. SHEKTER ET AL.,
Applicants

Dated: January 23, 2002

By: 

Jerry Cohen
Reg. No. 20,522
Attorney for Applicant